

Chapter Two – Basic entry and Entry Summary – CBP Exam Questions Since 2005

April 2005 Exam

19. When was the entry summary due on merchandise that was released Monday, June 30, 2003, by submission of an entry/immediate delivery?

- A. Monday, July 7, 2003
- B. Friday, July 11, 2003
- C. Monday, July 14, 2003
- D. Tuesday, July 15, 2003
- E. Thursday, July 10, 2003

June 2003						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

July 2003						
Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

20. Which is **NOT** required on a commercial invoice furnished with the entry before release of the merchandise is authorized?

- A. An adequate description of the merchandise
- B. The values or approximate values of the merchandise
- C. The name and complete address of the foreign individual or firm responsible for invoicing the merchandise
- D. The inbond number assigned at the U.S. port of unloading
- E. The appropriate eight-digit subheading from the Harmonized Tariff Schedule of the U.S.

33. Under the following circumstances, choose the correct method of making the entry for your client.

- There is a “snow day” (CBP is closed because of bad weather).
 - You are on live entry.
 - Your importer operates on a just-in-time basis and needs his shipment immediately.
- A. Submit a manual CBP Form 3461 Entry/Immediate Delivery to CBP to obtain release of your client’s merchandise
 - B. Advise your client that entries which are not computer generated are no longer accepted by CBP
 - C. Prepare a CBP Form 7501 Entry/Entry Summary. Submit this form along with invoice, supporting documents, and a cashier’s check for the duties/taxes/fees, to CBP as soon as they re-open
 - D. Submit your entry documents to a port that is not having a “snow day”

E. Submit a warehouse entry

78. If an importer is habitually late in paying CBP bills, _____.

- A. The importer will be notified by the port director that he or she is required to file the entry summary with duties attached before his or her merchandise may be released
- B. The importer's broker may apply for release of the importer's merchandise under the broker's name and bond
- C. CBP will require that the importer transfer all future funds via direct deposit into the general fund of the U.S. Treasury
- D. The importer may submit an application for deferred payment and upon approval may make semi-monthly payments on outstanding duty bills
- E. The port director may require the filing of one entry summary for multiple entries to reduce the number of bills being issued to the importer

Exam Answers:

19. D 142.12(b) Remember 10 working days to file the entry summary. And July the 4th is a holiday.

20. D 142.6 Common sense, no inbond number needed on a commercial invoice and remember that the invoice is probably printed long before the goods arrive at the US anyway.

33. C 142.3(b) and 142.12(a) The facts tell you it is a live entry so no 3461 can be used. Only a CF 7501.

78. A 142.14(a) and 142.26(a) Repeat area. Again it is basically forcing a live entry upon the importer for being habitually late in payment.

April 2006 Exam

11. The release of merchandise other than by a "live" entry does **NOT** require _____.

- A. A CBP Form 7501
- B. A Bill of lading or airway bill
- C. A CBP Form 3461
- D. Documentation required by other Federal, State or Local agencies
- E. A Commercial Invoice

Answers:

11. A 142.3(a) Release of goods occurs with a CF 3461 followed by a CF 7501. remember that a live entry is an entry filed with a CF 7501 up front and no CF 3461 used at all.

October 2007 Exam

6. A broker transmits an entry via ABI on behalf of an importer under a single entry bond and immediately receives a paperless release. The broker forgets to flag the entry for statement payment, and the duties, taxes and fees are paid 30 days later. CBP will then issue _____.

- A. a penalty to the broker for breach of the importer's bond
- B. a penalty to the importer of record for late file
- C. a penalty to both the broker and importer for late file
- D. liquidated damages and a penalty to the importer for late file
- E. liquidated damages to the importer of record for late file

Answers:

6. E 142.15 CBP will demand liquidated damages for late entry summary documentation.

October 2008 Exam

11. Furniture NOT entered within _____ days after timely arrival is subject to general order.

- A. 10 calendar
- B. 15 business
- C. 15 calendar
- D. 30 calendar
- E. 30 business

39. If no entry has been filed for the goods at the port of entry, or at the port of destination for an in-bond shipment within _____ days after their arrival, the goods may be placed in a general warehouse at the importer's risk and expense.

- A. 15 calendar
- B. 10 business
- C. 15 business
- D. 20 business
- E. 30 calendar

Answers:

11. C 142.2 and 122.50 You have 15 calendar days to file an entry or the goods are subject to General Order warehouse.

39. A 142.2 and 122.50 You have 15 calendar days to file an entry or the goods are subject to General Order warehouse. It does not matter that they arrived via in bond... same entry rules apply.

October 2009 Exam

8. CBP Form _____ shall be used for merchandise formally entered for consumption. The entry summary for merchandise which may be entered free of duty may be on CBP Form _____.

- A. 3311; 5106
- B. 3461; 5106
- C. 7501; 3311
- D. 7501; 3461
- E. 7501; 5106

12. Which statement is FALSE when referring to filer codes?

- A. The broker shall use the assigned filer code as the beginning three characters of the number for all CBP entries filed in only the ports, which are listed and approved on the initial filer code application
- B. CBP will assign a unique 3 character (alphabetic, numeric or alpha numeric) entry filer code to all licensed brokers filing CBP entries
- C. CBP shall make available electronically a listing of filer codes and importers, and Customs brokers assigned those filer codes
- D. If an importer does not have an assigned entry filer code, or if the port director refuses to allow use of an assigned entry filer code, the importer or broker shall obtain forms with a CBP assigned pre-printed machine readable entry number with a computed check digit
- E. The Assistant Commissioner, Office of International Trade may refuse to allow use of an assigned entry filer code if it is misused by the importer or broker

13. The filing of one entry summary for merchandise released under multiple entries is PRECLUDED when the merchandise _____.

- A. is consigned to one consignee
- B. is quota-class merchandise
- C. crosses the border by land on several trucks
- D. is exported from Mexico
- E. country of origin is Honduras

54. A broker transmits an entry via ABI on behalf of an importer under a single entry bond and immediately receives a paperless release. The broker forgets to flag the entry for statement payment, and the duties, taxes and fees are paid 30 days later. CBP will then issue _____.

- A. a penalty to the broker for breach of the importer's bond
- B. a penalty to the importer of record for late file
- C. a penalty to both the broker and importer for late file
- D. liquidated damages to the importer of record for late file
- E. liquidated damages and a penalty to the importer for late file

Answers:

- 8. C 142.11 Process of elimination. A CF3311 is used for entering goods duty free. Thus 7501 / 3311 is best answer.
- 12. A 142.3a(b)(1) CBP has not tested Broker filer codes very much. Rare question.

13. B 142.17 You can not use one entry summary for multiple entries if the merchandise is quota class.
54. D 142.15. Repeat question. CBP will demand liquidated damages when entry summary documentation is filed late.

April 2010 exam

27. With the exception of bulk and certain bulk-break cargo, the Importer Security Filings for immediate export or transportation and exportation in-bond shipments must be submitted no later than 24 hours _____.

- A. prior to arrival of laden cargo from foreign port
- B. after the cargo is laden aboard vessel destined to the U.S.
- C. before the cargo is laden aboard a vessel destined to the U.S.
- D. prior to departure of vessel from foreign port
- E. after departure of vessel from foreign port

Answer:

27. C 149.2 ISF- Importer Security Filing must be filed no later than 24 hours before cargo is laden aboard a vessel for the US.

October 2010 Exam

19. With the exception of bulk and certain bulk-break cargo, Importer Security Filings (ISF) for Immediate Export (IE) or Transportation and Exportation (T&E) in-bond shipments must be submitted no later than 24 hours _____ via a CBP-approved electronic interchange system.

- A. Prior to arrival of cargo from a foreign port
- B. Before cargo is laden aboard the vessel at the foreign port
- C. After the cargo is laden aboard a vessel destined to the United States
- D. Prior to departure of a vessel from foreign port
- E. After departure of a vessel from foreign port

Answers:

19. B 149.2(b)(5) ISF filing must be submitted to CBP before goods are placed on board the ship.

April 2011 Exam

59. A client intends to submit the Importer Security Filing (ISF). Which of the following must be linked to one another at the line item level for shipments intended to be entered into the U.S. Customs territory at the lowest bill of lading level, if applicable?

- A. Vessel name, country of lading the vessel, and the tenth digit harmonized tariff schedule number
- B. Unique Customs Trade Partnership Against Terrorism identifier, country of exportation, and buyer

- C. Standard Carrier Alpha Code (SCAC Code), city code, and entry summary number
- D. Manufacturer (or supplier), country of origin, and the sixth digit harmonized tariff schedule number
- E. Manufacturer identification number, importer of record number, and consignee number

Answers:

59. D 149.3(a). ISF filing must match certain data to be included on a house bill of lading.

October 2011 Exam

5. Imported cargo arrives at the Port of Mobile on January 28th. The importer files proper entry documentation on January 30th, without making requests on the entry documentation. The entry was processed on January 31st and merchandise was authorized for release on July 1st. The entry summary must be filed within _____ :

- A. 10 working days after the date of arrival.
- B. 10 calendar days after the date of entry documentation filing.
- C. 10 calendar days after the date of filing the CBP Form 3461.
- D. 10 calendar days after the date of processing the entry.
- E. 10 working days after the date of CBP's release authorization.

69. If the ISF and CBP Form 7501 are provided via a single electronic transmission to U.S. Customs and Border Protection the party making the transmission must be a/an _____ .

- A. freight forwarder
- B. common carrier
- C. foreign trade zone operator
- D. importer acting on its own behalf
- E. non-vessel operating common carrier

Answers:

5. E 142.12(b) and 142.23 Must file the entry summary within 10 working days of date of entry / which is the date of release with a 3461
69. D 149.5(a). Out of the options provided only the importer of record acting for himself can transmit both. However, remember that a Broker can also transmit this data.